

IFW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 37808-0011

In re patent application of:
Yoshinobu SAITO et al.

Confirmation No. 3251

Applic. No.: 10/541,361

Art Unit: 1616

Filed: July 6, 2005

Examiner: Unassigned

For: NOVEL SURFACTANT AND ITS USE

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to applicants in order to comply with Applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97. A copy of each of the listed documents are being submitted to comply with the provisions of 37 C.F.R. §1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

RELEVANCE

Documents A02-A13 came to the Applicants' attention during a search of the corresponding international application. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

An English language translation of documents A01-A13 are not readily available; however, the absence of such translation does not relieve the PTO from its duty to consider the submitted document (37 CFR §1.98 and MPEP §609). A copy of the English abstract for documents A01-A13 are attached.

TIMING/FEE

This Information Disclosure Statement is being filed in compliance with 37 CFR §1.97(b) prior to the mailing date of the first Office Action. Therefore, Applicants believe that no fee is required at this time. However, the Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 08-1641.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

Date: December 5, 2005

HELLER EHRMAN LLP
1717 Rhode Island Avenue, N.W.
Washington, D.C. 20036
Telephone: (202) 912-2000
Facsimile: (202) 912-2020

By Paul M. Booth # 33683

for Paul M. Booth
Attorney for Applicant
Reg. No.: 40,244

Customer No. 26633

Substitute for form 1449A/PFO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
-------	---	----	---

Complete if Known

Application Number	10/541,361
Filing Date	July 6, 2005
First Named Inventor	Yoshinobu SAITO et al.
Group Art Unit	1616
Examiner Name	Unassigned
Attorney Docket Number	37808-0011

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	A01	JP 9157688	6/17/1997	Shiseido Co., Ltd.		
	A-02	JP 11323380	11/26/1999	Ajinomoto KK		
	A03	JP 11323378	11/26/1999	Ajinomoto KK		
	A04	JP 11323379	11/26/1999	Ajinomoto KK		
	A05	JP 7331281	12/19/1995	Ajinomoto KK		
	A06	JP 53-126006	11/2/1978			
	A07	JP 50-22809	1/29/1993			
	A08	JP 2000345190	12/12/2000	Asahi Chemical Ind.		
	A09	JP 2002020267	1/23/2002	Shiseido Co. Ltd.		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

DEC 05 2005

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form-1449A PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	10/541,361
Filing Date	July 6, 2005
First Named Inventor	Yoshinobu SAITO et al.
Group Art Unit	1616
Examiner Name	Unassigned
Attorney Docket Number	37808-0011

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	A10	JP 11180855	7/6/1999	Kao Corp.		
	A11	JP 9157140	6/17/1997	Ajinomoto KK		
	A12	JP 11180836	7/6/1999	Ajinomoto KK		
	A13	JP 2001040390	2/13/2001	NOF Corp.		

Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.